Docket No.

278709US26X PCT

# 23 SEP 2005

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Toshio GOTO, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/JP03/12105

GAU:

FILED:

EXAMINER:

FOR:

SURFACE TREATING METHOD AND SURFACE-TREATING APPARATUS

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

Applicant(s) wish to disclose the following information.

#### REFERENCES

- The applicant(s) wish to make of record the references cited in the International Search Report and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

## RELATED CASES

- Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

# **CERTIFICATION**

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

### **DEPOSIT ACCOUNT**

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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JC20 Rec'd PCT/PT0 23 SER, 2005 1 OF 1

Form PTO 1449		U.S. DEPARTME	NT OF COMMERCE	ATTY DOCKET NO.	10	SERIAL	<b>1020</b>	
(Modified)		PATENT AND TR	RADEMARK OFFICE	278709US26X PCT		New U.S. PCT Application Based on PCT/JP03/12105		
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LIST OF	REFE	RENCES CITED BY A	APPLICANT	Toshio GOTO, et al.				
				FILING DATE		GROUP		
				Herewith				
				U.S. PATENT DOCUMENTS				
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE	
	AA	6,284,668	09/04/01	IMAHASHI, Issei	<u> </u>			
	AB				1			
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			FO	REIGN PATENT DOCUMENTS	<u></u>			
		DOCUMENT NUMBER	DATE	COUNTRY		1 YES	TRANSLATION NO	
	AO	9-82665	03/28/97	JP(with English abstract)		*-	NO	
	AP	2001-237212	08/31/01	JP(with English abstract)			NO	
	AQ	10-275808	10/13/98	JP(with English abstract)			NO	
	AR	11-150102	06/02/99	JP(with English abstract)			NO	
	AS	6-236859	08/23/94	JP(with English abstract)			NO	
	AT	9-27482	01/28/97	JP(with English abstract)			NO	
	AU							
	AV							
		OTHER R	EFERENCES (I	Including Author, Title, Date, Pertinen	it Pages, et	c.)		
	AW							
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	AZ				Additi	ional Refer	rences sheet(s) attached	
Examiner		Date Considered						
*Examiner: Ini	tial if re	eference is considered	whether or not	citation is in conformance with MPEP 60	Į.		citation if not in	
conformance a	and not	considered. Include c	opy of this form	with next communication to applicant.	, Dia	s unough c	atauon n not m	